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SENATE OF PA
SECRETARY'S OFFICE

THE GOVERNOR

MEMBER OF THE PENNSYLVANIA SECURITIES COMMISSION



COMMONWEALTH OF PENNSYLVANIA
OFFICE OF THE GOVERNOR
HARRISBURG

November 14, 2011

To the Honorable, the Senate
of the Commonwealth of Pennsylvania:

In conformity with law, I have the honor hereby to nominate for the advice and consent of the Senate, The Honorable Vincent J. Gastgeb, 5791 Glen Ora Drive, Bethel Park 15102, Allegheny County, Thirty-seventh Senatorial District, for appointment as a member of the Pennsylvania Securities Commission, to serve until the third Tuesday of January 2015, and until his successor is appointed and qualified, vice The Honorable Thomas A. Michlovic, North Braddock, whose term expired.

A handwritten signature in black ink that reads "Tom Corbett".

TOM CORBETT
Governor

STATEMENT OF FINANCIAL INTERESTS

PLEASE PRINT NEATLY

01 LAST NAME FIRST NAME MI SUFFIX

GASTGEB VINCENT J

02 ADDRESS City State Zip Code Area Code Phone

5791 Glen View Dr Bethel Park PA 15102 (717) 833-2462

NOTE: IF YOU ARE INCLUDING ATTACHMENTS, DO NOT INCLUDE ANYTHING THAT BEARS YOUR SOCIAL SECURITY NUMBER OR FINANCIAL ACCOUNT NUMBERS.

03 STATUS Check applicable block or blocks, more than one block may be marked. (See instructions on page 2)

A Candidate (including write-in) C Public Official (Current) D Public Employee (Current) E Check this block if you are amending an original filing

B Nominee C Public Official (Former) D Public Employee (Former) F Check this block if you are filing as a solicitor

04 PUBLIC POSITION OR PUBLIC OFFICE (administrator, member, Commissioner, job title, etc.) seeking hold held

A ALLEGHENY COUNTY COUNCIL

B COMMITTEE MEMBER

05 GOVERNMENTAL ENTITY in which you are/were an Official, Employee, Candidate or Nominee (e.g., dept, agency, authority, borough, board, commission, county, school district, twp, etc.)

A ALLEGHENY COUNTY

B PA SECURITIES COMMISSION

06 OCCUPATION OR PROFESSION (This may be the same as block 4)

Sales Director

07 YEAR The information in blocks 8 through 15 below represents financial interests for the PRIOR calendar year indicated: 2011

08 REAL ESTATE INTERESTS (See instructions on page 2) If NONE, check this box:

09 CREDITORS (See instructions on page 2). Creditor (Name and Address) If NONE, check this box:

1 Bank of America Address: PO Box 15019 Wilmington DE 19886 Interest Rate: 1.06%

2 Citibank Address: PO Box 6500 5100X Falls rd 57117 Interest Rate: 1.11%

3 Bank of America Address: PO Box 15220 Wilmington DE 19886 Interest Rate: 2.99%

10 DIRECT OR INDIRECT SOURCES OF INCOME including (but not limited to) all employment. (See instructions on pg. 2) ONLY IF NONE, check this box. (OFFICIAL USE ONLY)

Municipality of Bethel Park Address: 5100 W. Liberty Ave Bethel Park PA 15102

Name: Allegheny County Address: 436 Grant St PA 15214

Sara Lee Foods Address: 3500 Lorry Rd Downers Grove IL 60515

11 GIFTS (See instructions on page 2) If NONE, check this box:

Source of Gift Value of Gift

Address of Source of Gift Circumstances (including description) of Gift

12 TRANSPORTATION, LODGING, HOSPITALITY (See instructions on page 2) If NONE, check this box:

Source (Name and Address) Value

13 OFFICE, DIRECTORSHIP OR EMPLOYMENT IN ANY BUSINESS (See instructions on page 2) If NONE, check this box:

Business Entity (Name and Address) Position Held

Name: Sara Lee Foods Address: 3500 Lorry Rd Downers Grove IL 60515 Sales Director

14 FINANCIAL INTEREST IN ANY LEGAL ENTITY IN BUSINESS FOR PROFIT (See instructions on page 2) If NONE, check this box:

Name and Address of Business Interest Held

15 BUSINESS INTERESTS TRANSFERRED TO IMMEDIATE FAMILY MEMBER (See instructions on page 2) If NONE, check this box:

Business (Name and Address) Interest Held Relationship Date Transferred

Transferee (Name and Address)

The undersigned hereby affirms that the foregoing information is true and correct to the best of said person's knowledge, information and belief, said affirmation being made subject to the penalties prescribed by 18 Pa.C.S. §4904 (unsworn falsification to authorities) and the Public Official and Employee Ethics Act, 65 Pa.C.S. §1109(b).

Signature: Vincent J Gastgeb Enter Current Date: 1/10/12

THIS FORM IS CONSIDERED DEFICIENT IF ANY BLOCK ABOVE IS NOT COMPLETED. MAKE A COPY FOR YOUR RECORDS.

STATEMENT OF FINANCIAL INTERESTS

PLEASE PRINT NEATLY

01 LAST NAME FIRST NAME MI SUFFIX
GASTGEB **VINCENT** **J**

02 ADDRESS City State Zip Code Area Code Phone
5791 Glen Ora Dr **Bethel Park** **PA** **15102** **(412) 833 2462**

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B Nominee C Public Official (Former) D Public Employee (Former)

Check this block if you are amending an original filing

04 PUBLIC POSITION OR PUBLIC OFFICE (administrator, member, Commissioner, job title, etc.) seeking hold held

A **ALLEGHENY COUNTY COUNCIL** seeking hold **SECRETARY OF PA** **2012 JAN 13** **RECEIVED**

B **COMMISSIONER** seeking hold **SECRETARY OF PA** **JAN 13** **RECEIVED**

05 GOVERNMENTAL ENTITY in which you are/were an Official, Employee, Candidate or Nominee (e.g., dept, agency, authority, borough, board, commission, county, school district, township, etc.)

A **ALLEGHENY COUNTY** **SECRETARY OF PA** **JAN 13** **RECEIVED**

B **PA SECURITIES COMMISSION** **SECRETARY OF PA** **JAN 13** **RECEIVED**

06 OCCUPATION OR PROFESSION (This may be the same as block 4) **Sales Director**

07 YEAR The information in blocks 8 through 15 below represents financial interests for the PRIOR calendar year indicated: **2011**

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09 CREDITORS (See instructions on page 2). Creditor (Name and Address) If NONE, check this box.

Name: **Bank of America** Address: **PO Box 15019 Wilmington DE 19886** Interest Rate: **10.9 %**

Name: **Citi bank** Address: **PO Box 6500 Sixty Falls Dr 57117** Interest Rate: **13.9 %**

Name: **Bank of America** Address: **PO Box 15220 Wilmington DE 19886** Interest Rate: **2.9 %**

10 DIRECT OR INDIRECT SOURCES OF INCOME including (but not limited to) all employment. (See instructions on pg. 2) ONLY IF NONE, check this block.

Name: **Municipality of Bethel Park** Address: **5100 W. Liberty Ave Bethel Park PA 15102**

Name: **Allegheny County** Address: **436 Grant St Pgh PA 15219**

Name: **Sara Lee Foods** Address: **3500 Lacey Rd Downers Grove IL 60515**

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Source of Gift _____ Value of Gift _____

Address of Source of Gift _____ Circumstances (including description) of Gift _____

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Source (Name and Address) _____ Value _____

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Business Entity (Name and Address) _____ Position Held _____

Name: **Sara Lee Foods** Address: **3500 Lacey Rd Downers Grove IL 60515** Position Held: **Sales Director**

14 FINANCIAL INTEREST IN ANY LEGAL ENTITY IN BUSINESS FOR PROFIT (See instructions on page 2) If NONE, check this box.

Name and Address of Business _____ Interest Held _____

15 BUSINESS INTERESTS TRANSFERRED TO IMMEDIATE FAMILY MEMBER (See instructions on page 2) If NONE, check this box.

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Date Transferred _____

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